

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

M-5273-2C US

First Named Inventor or  
Application Identifier

Kevin J. Surace

Title

Voice User Interface With Personality

Express Mail Label No.

EL764882165US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Commissioner for Patents  
Box Patent Application  
Washington, D C 20231

- 1.
- ☒
- Fee Transmittal Form -
- see page 2 of this form.*
- 
- (Submit an original, and a duplicate for fee processing)

## 2. Application:

- ☒
- Specification: (preferred arrangement set forth below)
- 
- Descriptive title of the Invention,
- 
- Cross References to Related Applications,
- 
- Reference to Microfiche Appendix,
- 
- Background of the Invention,
- 
- Brief Summary of the Invention,
- 
- Brief Description of the Drawings, and
- 
- Detailed Description (all totaling 79 pages)
- 
- Appendices A & B (24 pages)

- ☒
- Claims 27 pages

- ☒
- Abstract of the Disclosure 1 page

- 3.
- ☒
- Drawing(s) (35 USC 113) [Total Sheets 18]

4. Oath or Declaration
- ☐
- unsigned [Total Pages 3]

- a.
- ☐
- Newly executed (original or copy)

- b.
- ☒
- Copy from prior application (37 CFR §1.63(d))
- 
- (for continuation/divisional with Box 17 completed)

- c.
- ☐
- DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b)

- 5.
- ☒
- Incorporation By Reference (useable if Box 4b is checked)

The entire disclosure of the prior application, from  
which a copy of the oath or declaration is supplied  
under Box 4b, is considered as being part of the  
disclosure of the accompanying application and is  
hereby incorporated by reference therein.

- 6.
- ☒
- Six (6) Microfiche Computer Program Appendix
- 
- consisting of 19 pages of microfiche containing 1,270
- 
- frames on each page in accompanying envelope.

7. Nucleotide and/or Amino Acid Sequence Submission
- 
- (if applicable, all necessary)

- a.
- ☐
- Computer Readable Copy

- b.
- ☐
- Paper Copy (identical to computer copy)

- c.
- ☐
- Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- 8.
- ☒
- Assignment Papers (cover sheet & documents) 5 pages

- 9.
- ☐
- 37 CFR §3.73(b) Statement
- ☒
- Power of Attorney
- 
- (combined when there is an with Patent Declaration
- 
- Assignee above.)

- 10.
- ☐
- English Translation Document (if applicable)

- 11.
- ☒
- Information Disclosure
- ☐
- Copies of IDS
- 
- Statement (IDS) &
- ☒
- PTO-1449 Citations/References
- 
- References not included. Cited in parent application SN 09/654,174

- 12.
- ☒
- Preliminary Amendment & Appendices 6 pages

- 13.
- ☒
- Return Receipt Postcard (MPEP 503)
- 
- (should be specifically itemized)

14. Small Entity Status

- ☐
- Small Entity Statement Enclosed \_\_\_ pages

- ☒
- Statement filed in prior application; and
- 
- status still proper and desired

- ☐
- Is no longer claimed.

- 15.
- ☐
- Certified Copy of Priority Document(s)
- 
- (if foreign priority is claimed)

- 16.
- ☒
- Other:

- ☒
- Substitute Specification (87 pages)

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information and a preliminary amendment:

- ☒
- Continuation
- ☐
- Divisional of prior application No. 09/654,174

Filed on September 1, 2000, entitled Voice User Interface With Personality

PRIOR APPLICATION INFORMATION: Examiner R. Dorvil Group Art Unit 2741

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

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**19. Fee calculations.**

CLAIMS (Number Filed)	(1) FOR	(2)		(3) NUMBER EXTRA		(4) RATE		(5) CALCULATIONS
104	TOTAL CLAIMS (37 CFR 1.16(c))	-20	=	84	x	\$18	=	\$1,512.00
3	INDEPENDENT CLAIMS (37 CFR 1.16(b))	-3	=	0	x	\$80	=	\$ 0.00
<input type="checkbox"/>	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.18(d))				+	\$260.00	=	
						BASIC FEE (37 CFR 1.16(a))	=	\$ 710.00
						Total of above Calculations	=	\$2,222.00
						Reduction by 50% for filing by small entity (Note 31 CFR 1.9, 1.27, 1.28).	=	\$1,111.00
						TOTAL	=	\$1,111.00

20. **FEES:** The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. **19-2386**:

- a. ☒ Fees required under 37 CFR 1.16. (U.S. Application Filing Fees)  
 b. ☒ Fees required under 37 CFR 1.17. (Conditional Extension of Time Fees)  
 c. ☐ Fees required under 37 CFR 1.18. (Patent Issue Fees)

21. ☐ Other: \_\_\_\_\_

**NOTE:**

The prior application's correspondence address will carry over to this UPA UNLESS a new correspondence address is provided below.

**22. NEW CORRESPONDENCE ADDRESS**

Customer Number or Bar Code  
Label

☐ New correspondence address  
below

NAME					
ADDRESS					
CITY	STATE	ZIP CODE			
COUNTRY	TELEPHONE	FAX			

**23. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

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